

**MAIL STOP PCT** *IFW*

Docket No. 286166US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Rosario LIZIO, et al.

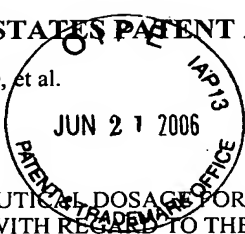
SERIAL NO: 10/572,963

FILED: March 21, 2006

FOR: MULTILAYER PHARMACEUTICAL DOSAGE FORM CONTAINING A SUBSTANCE THAT ACTS IN A MODULATORY MANNER WITH REGARD TO THE RELEASE OF ACTIVE SUBSTANCES

GAU:

EXAMINER:



**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

286166US0PCT

SERIAL NO.

10/572,963

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Rosario LIZIO, et al.

FILING DATE

March 21, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 322 819	11/27/2001	BURNSIDE, Beth A. et al			
	AB	5 508 040	4/16/1996	CHEN, Chih-Ming et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AJ	199 56 486	6/21/2001	DE		NO
	AK	1 213 015	6/12/2002	EP		NO
	AL	0 463 877	1/2/1992	EP		NO
	AM	0 225 085	6/10/1987	EP		NO
	AN	0 122 077	10/17/1984	EP		NO
	AO	0 123 470	10/31/1984	EP		NO
	AP	0 436 370	7/10/1991	EP		NO
	AQ	00/19984	4/13/2000	WO(with English abstract)		NO
	AR	00/74655	12/14/2000	WO		NO
	AS	1 064 938	1/3/2001	EP		NO
	AT	01/13895	3/1/2001	WO		NO
	AU	01/37815	5/31/2001	WO		NO
	AV	01/58433	8/16/2001	WO		NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/572,963

Filed: March 21, 2006

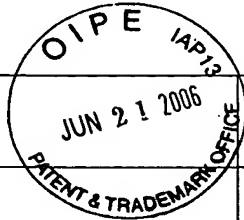
Rosario LIZIO, et al.

Docket No. 286166 US



## STATEMENT OF RELEVANCY

- 1) References AA, AJ & AK have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AB, AL - AV are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.



LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Docket No.: 286166US0PCT	Serial No.: 10/572,963
	Inventor: Rosario LIZIO, et al.	
	Filing Date: March 21, 2006	Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	286166US0PCT*	10/572,963	03/21/06		LIZIO, et al.
	282276US0PCT	10/564,096	01/11/06		LIZIO, et al.
	286088US0PCT	10/573,019	03/22/06		LIZIO, et al.

Examiner

Date Considered

\*Present Application; listed for information  
NFO/kch